



SITework AND EXCAVATION SUPPLEMENTAL APPLICATION

SECTION A: Applicant Information

1. Applicant Name: _____
Mailing Address: _____ City: _____ State: _____ Zip: _____
Location Address (if different from above): _____
Website: _____ Phone Number: _____
2. Proposed Effective Date: From: _____ To: _____ 12:01 A.M., Standard Time at Address of Applicant

SECTION B: Business Information

1. Detailed description of operations: _____

2. Business Name: _____ Contractor's license number: _____
3. Years in business operating under this name: _____ Years of experience in this field/trade: _____
4. List prior business experience (if any): _____
5. List other businesses owned or affiliated in any way with the company above in the past five years: _____

6. List states worked in for the past five years: _____
7. State the percentage of work performed in the following areas:
Five Boroughs of NYC: _____ %
Downstate counties (excluding Boroughs): _____ %
Upstate counties: _____ %
8. For the next 12 months, provide the following information:
Employee's payroll: _____
Gross receipts (total revenue): _____
Cost of subcontracts with certificates of insurance on file (including labor and materials): _____
Cost of subcontracts without certificates of insurance on file (including labor and materials): _____
Expense for casual labor or leased employees: _____
No. of owners, officers, or partners: _____ No. of owners, officer, or partners active in business: _____
No. of full-time employees: _____ No. of part-time employees: _____
9. List all jobs currently underway or planned for the next year including location, scope of work being performed and contract price (attach a separate sheet if space below is inadequate for complete list):
 1. _____
 2. _____
 3. _____
 4. _____
 5. _____
10. Max number of jobs running at the same time: _____
11. List the three largest jobs in the past five years (including approximate date, description of work and revenue):
 1. _____
 2. _____
 3. _____
12. Has prior insurance been cancelled, declined, or non-renewed? ☐ Yes ☐ No
If yes, please explain: _____

13. Has the company, or any of the owners, ever operated for any period without insurance? ☐ Yes ☐ No
14. Has applicant ever been named in legal action or had a demand for arbitration regarding faulty/defective construction?
..... ☐ Yes ☐ No
- If yes, please explain: _____
15. Are there any claims, legal actions, arbitrations, or disputes pending of any kind against any persons or entities named
in the application? ☐ Yes ☐ No
- If yes, please explain: _____

SECTION C: Safety Information

1. Please attach a copy of your Safety Manual Procedures

2. Does applicant have a written safety policy and program in place? ☐ Yes ☐ No
3. Is there a formal safety training program in place for the following (select all that apply)?
☐ Orientation ☐ Fall Protection ☐ OSHA 10 ☐ OSHA 30 ☐ Project-Specific Training
☐ First Aid ☐ Material Handling ☐ CPR ☐ Supervisory ☐ Mobile Equipment and Transport
4. Does applicant enforce the use of PPE as required by OSHA? ☐ Yes ☐ No
5. Is fall protection required for work at all heights? ☐ Yes ☐ No
6. Is there an accident investigation/lessons learned program in place? ☐ Yes ☐ No

SECTION D: Subcontract Work Information

1. Please attach a copy of your Standard Subcontract Agreement

2. Does applicant subcontract out any work? ☐ Yes ☐ No
3. What is the percentage of work subcontracted to others (as a percentage of total receipts)? _____
4. What type of work is subcontracted to others: _____
5. Does applicant obtain certificates of insurance from all subcontractors? *If yes, attach sample* ☐ Yes ☐ No
6. Are additional insured endorsements obtained from all subcontractors? *If yes, attach sample* ☐ Yes ☐ No
7. Does applicant obtain a hold-harmless or indemnification agreement in your favor? *If yes, attach sample* ☐ Yes ☐ No
8. What limit of primary and/or excess insurance is required from subcontractors? _____
9. Under what circumstances does applicant allow subcontractors to work without obtaining a certificate of insurance that
includes an endorsement naming you as an additional insured?

10. List key subcontractors (name and type of work subcontracted):

SECTION E: Operations Information

1. State the percentage of work performed in each of the following categories for each table below:

Must Equal 100%			
Residential: _____%	Commercial: _____%	Manufacturing: _____%	Industrial: _____%

Must Equal 100%		
Remodel: _____%	Repair: _____%	New Construction: _____%

2. If there is any new construction, advise percentage of the following (must equal 100%):

Custom Homes: _____%
 Apartments (over 12 units): _____%
 Tract Work (5 or more structures at one location): _____%
 Condominiums, Townhouses or Co-op Buildings: _____%
 Other: _____%

3. State the percentage of work performed inside and outside of buildings below:

Inside: _____%
 Outside < 2 stories: _____%
 Outside 3-6 stories: _____%
 Outside > 6 stories: _____%

4. Is any structural work anticipated? ☐ Yes ☐ No

If yes, what percentage of operations? _____%

5. Has any work performed by persons or entities named in the application ever included new construction of condominiums, townhouses, apartments, planned developments, tract homes (5 or more homes at one location) or similar projects? ☐ Yes ☐ No

If yes, select below for whom the work is performed:

- ☐ Individual Unit Owner (within their unit)
☐ General Contractor
☐ Association
☐ Other: _____

6. Does applicant perform work on new homes valued over \$750,000? ☐ Yes ☐ No

7. Does applicant build spec homes? ☐ Yes ☐ No

8. What percentage of each day is done working on the job site? _____%

9. Indicate the percentage of work to be performed by applicant or on applicant's behalf by subcontractor that is:

Low-Slope (14 degrees or less): _____%
 Steep Slope (greater than 14 degrees): _____%

10. Complete the table below with the percentage of each operation (if applicable) and if that operation is sub'd out:

Operation	% Direct Work	% Subcontracted Work
Grading of Land		
Excavation Work		
Landscape Work		
Foundation/Basements		
Conduit Construction		
Work on Dams, Ponds, Streams		
Sewer/Water Construction		
Gas/Well-Drilling Related Work		
Street/Road Construction		
Landfill Construction		
Septic Tank Installation		
Underground Tank Removal		
Other Describe: _____		

SECTION F: Excavation Information ☐ N/A

1. What is the average depth of work performed? _____ Maximum depth? _____
2. Detail all equipment used to perform work: _____

3. If the applicant is involved in utility work, please provide the types of work done in percentages below:
Sewer: _____%
Water: _____%
Gas: _____%
4. Does the applicant use "call before you dig" procedures mandated by OSHA to pre survey and provide maps of the underground utilities? ☐ Yes ☐ No
5. Are the mechanical equipment operators employees of applicant? ☐ Yes ☐ No
If yes, how are they qualified, selected, and trained? _____
6. Does the applicant perform site preparation prior to performing work? ☐ Yes ☐ No
If yes, attach a description _____
7. Does the applicant perform the shoring of open trenches? ☐ Yes ☐ No
If yes, list depth/controls used to protect employees: _____
8. Does the applicant ever leave trenches open after work is performed? ☐ Yes ☐ No
If yes, advise how they are secured to avert pedestrian and vehicular traffic accidents from the site: _____

9. How are project sites protected during work hours? _____
10. How are project sites protected during off work hours? _____
11. Does the applicant ever perform bracing of adjoining buildings? _____
If yes, attach details of how this work is performed _____
12. Are all excavation holes backfilled, and is debris removed before contractor leaves the premises? ☐ Yes ☐ No
If yes, advise if this is the responsibility of the insured or of others: _____
13. What locate service does applicant's firm use to identify underground exposure? _____
14. Does applicant keep records of calls/book for relocates? ☐ Yes ☐ No
15. What additional steps does applicant use to avoid underground hazards: _____
16. Is the graded/compacted soil tested to verify compliance to project specifications or industry standards? ☐ Yes ☐ No
17. Does applicant work in areas with expansive, swelling, or shifting soils? ☐ Yes ☐ No
18. Has the applicant had any claims involving movement/subsidence of the grade/compacted soil causing damage to a home or other structure? ☐ Yes ☐ No
If yes, explain: _____

SECTION G: Scaffolding Information ☐ N/A

1. What is the average height of scaffolding equipment? _____ Maximum Height? _____
2. What types of scaffolding equipment does the applicant carry (stationary, mobile towers, suspended scaffolds, aerial lifts, pump jacking, etc.) ? _____
3. Is scaffolding equipment used to perform work owned, leased or rented? _____
4. Is the scaffolding equipment owned, leased or rented with operators or without operators? _____
5. Does the applicant rent or lease equipment unassembled? ☐ Yes ☐ No
6. Does the applicant always install leased or rented equipment? ☐ Yes ☐ No
If no, who is responsible for the installation? _____
7. Are there contractual arrangements to hold harmless the insured if the installation is not performed on behalf of the Named Insured? ☐ Yes ☐ No
8. Is the applicant required to insure the scaffolding or other equipment? ☐ Yes ☐ No
9. How is equipment secured during off work hours? _____

SECTION H: Crane/Boom Truck Exposure Information

1. Does the applicant rent or lease equipment such as cranes to others with operators? ☐ Yes ☐ No
2. Does the applicant rent or lease equipment such as cranes to others without operators? ☐ Yes ☐ No
3. Does the applicant use tower cranes? ☐ Yes ☐ No
4. Does the applicant own any cranes? ☐ Yes ☐ No
If yes, attach list including maximum load capacity and boom length
5. State the specific work site activity/activities cranes are used for: _____
6. State the number of times annually a crane is engaged or used: _____
7. Does the applicant perform tandem lifts? ☐ Yes ☐ No
8. If the applicant rents cranes, does applicant rent the cranes with or without operators? _____
9. Are crane operators CCO certified and/or licensed by the state? ☐ Yes ☐ No
If yes, provide details of certification, continuing education for each operator: _____

10. Size of cranes rented over past year: Max Capacity: _____ Ave. Boom Length: _____ Max Boom Length: _____
11. Has the applicant ever had any claims (GL or WC) due to crane usage? ☐ Yes ☐ No
If yes, please explain: _____
12. Has the applicant ever had a crane accident? ☐ Yes ☐ No
If yes, please explain: _____
13. Who does the applicant rent cranes from? _____
14. If cranes are rented with operators, does the applicant receive the following (select if applicable):
☐ Additional Insured Status ☐ Hold Harmless Agreement (*attach copy of Subcontractor Agreement*)
15. What are the minimum limits of liability required from a crane operator? _____
16. Who is responsible for the inspection and certification of the crane prior to use? _____

SECTION I: Street and Road Information

1. Does the applicant perform any work over navigable waterways? ☐ Yes ☐ No
2. What is the maximum span for bridge work? _____
3. Does the applicant do any tunneling? ☐ Yes ☐ No
4. State the percentage of work performed for each of the following:
Site Preparation: _____ %
Asphalt Work: _____ %
Bridge/Elevated: _____ %
Navigator Water: _____ %
Rock Quarry: _____ %
Street and Road Paving/Stripping: _____ %
Sand Pit or Gavel (provide security): _____ %
Hauling for Others (provide details): _____ % → _____
5. Is equipment delivered for jobs by owned trucks or others? Explain: _____

SECTION J: Auto Information

1. Does applicant have a formal safety program in place? ☐ Yes ☐ No
2. Do employees take company vehicles home in the evening? ☐ Yes ☐ No
3. Does applicant have a vehicle maintenance plan in place to address the following equipment (select all that apply):
☐ Brakes ☐ Electrical ☐ Steering ☐ Drivability ☐ GPS Tracking/Monitoring ☐ Tires
4. Are company vehicles equipped with backup cameras? ☐ Yes ☐ No
5. Are vehicles with an obstructed rear view equipped with backup alarms? ☐ Yes ☐ No

6. Is a spotter required for backing maneuvers in high-risk areas such as construction sites or when visibility is obstructed? ☐ Yes ☐ No
7. Are drivers and spotters formally trained on safety protocols? ☐ Yes ☐ No
If yes, does this include pre-arranged hand signals and maintaining visual contact? ☐ Yes ☐ No
If yes, are drivers instructed to immediately stop if they lose site of the spotter? ☐ Yes ☐ No
8. Describe the applicant's policy regarding personal and family use of company vehicles?
.....
.....
9. Does applicant review Motor Vehicle Records on prospective employees and annually thereafter? ☐ Yes ☐ No
10. Does applicant have specific criteria used to determine acceptable/unacceptable driving records? ☐ Yes ☐ No
If yes, detail criteria:
.....
.....
11. Explain how employees with unacceptable driving records are handled (remove driving privileges, written warning, probationary period, etc.):
.....
.....
12. Does applicant perform snow/ice removal work? ☐ Yes ☐ No

SECTION K: Notice and Signature

The undersigned is an authorized representative of the applicant and represents that reasonable inquiry has been made to obtain the answers to questions on any application, supplemental questionnaire or other written correspondence submitted in support of the submission made for purposes of obtaining insurance quotations and/or coverage for the applicant. They represent that the answers are true, correct and complete to the best of their knowledge.

Applicant Name: _____

Applicant Title: _____

Applicant Signature: _____

Date: _____